

LAW OFFICES OF
KENYON & KENYON
ONE BROADWAY

WASHINGTON, D.C. OFFICE
1600 K STREET, N.W., SUITE 700
WASHINGTON, D.C. 20005-1257
TEL. (202) 220-4200
FAX. (202) 220-4201

NEW YORK, NY 10004-1050
TEL. (212) 425-7200
FAX. (212) 425-5288

#13

RECEIVED

MAY 05 2000 FRANKFURT OFFICE
SCHILLERSTRASSE 19-25
60313 FRANKFURT AM MAIN
FEDERAL REPUBLIC OF GERMANY
Group 2700 EL. (49) (69) 97 58 05 0
FAX. (49) (69) 97 58 05 99

WWW.KENYON.COM

Direct dial: 212-908-6036

SILICON VALLEY OFFICE
RIVERPARK TOWERS, SUITE 600
333 W. SAN CARLOS ST.
SAN JOSE, CA 95110-2731
TEL. (408) 975-7500
FAX. (408) 975-7501

January 28, 2000

BY HAND

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: Ms. Mary Louise McAskill

Re: U.S. Patent Application Serial No. 08/976,159

Filed: November 21, 1997

Title: **ENHANCED MATCHING APPARATUS AND
METHOD FOR POST-TRADE PROCESSING
AND SETTLEMENT OF SECURITIES TRANSACTIONS**

Inventor: BRANDER et al.

Batch: F68

Atty's Docket No. 2656/2



RECEIVED
JAN 31 2000

FEB - 8 2001
TC 210 MAILROOM

RECEIVED

Dear Sir:

Applicants request that issuance of a patent on the above-referenced patent application be expedited because of cross-licensing issues.

The issue fee transmittal and the formal drawings are attached.

Should any additional information be required to process this request, the Patent Office is requested to contact the undersigned Attorney for Applicant.

Respectfully submitted,

KENYON & KENYON

By

Michelle M. Carniaux
Attorney for Applicant
Reg. No. 36,098

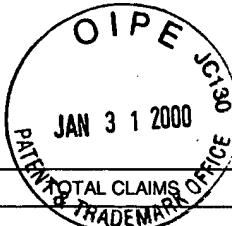
PART B—ISSUE FEE TRANSMITTAL

Complete and mail this form, together with applicable fees, to: **Box ISSUE FEE
Assistant Commissioner for Patents
Washington, D.C. 20231**

MAILING INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE. Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Issue Fee Receipt, the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

KENYON & KENYON
ONE BROADWAY
NEW YORK NY 10004



Note: The certificate of mailing below can only be used for domestic mailings of the Issue Fee Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing.

Certificate of Mailing

I hereby certify that this Issue Fee Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above on the date indicated below.

2100 N. LEE MARY RD.
FEB - 2000
(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	TOTAL CLAIMS	EXAMINER AND GROUP ART UNIT	DATE MAILED
08/976,159	11/21/97	034	ROMAIN, J	2765 12/16/99
First Named Applicant	BRANDER,	35 USC 154(h) term ext. = 0 Days.		

TITLE OF INVENTION: ENHANCED MATCHING APPARATUS AND METHOD FOR POST-TRADE PROCESSING AND SETTLEMENT OF SECURITIES TRANSACTIONS

ATTY'S DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APPLN. TYPE	SMALL ENTITY	FEES DUE	DATE DUE
2 2656/2	705-037.000	F68	UTILITY NO	\$1210.00		03/16/00

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Use of PTO form(s) and Customer Number are recommended, but not required.

Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47) attached.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 _____ Kenyon & Kenyon

2 _____

3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the PTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

The Depository Trust Company

(B) RESIDENCE: (CITY & STATE OR COUNTRY)

New York, New York 10041

Please check the appropriate assignee category indicated below (will not be printed on the patent)

individual corporation or other private group entity government

4a. The following fees are enclosed (make check payable to Commissioner of Patents and Trademarks):

Issue Fee

Advance Order - # of Copies _____

4b. The following fees or deficiency in these fees should be charged to:

DEPOSIT ACCOUNT NUMBER 11-0600

(ENCLOSE AN EXTRA COPY OF THIS FORM)

Issue Fee

Advance Order - # of Copies 10

The COMMISSIONER OF PATENTS AND TRADEMARKS IS requested to apply the Issue Fee to the application identified above.

(Authorized Signature)

(Date)

Michelle M. Carniaux, Reg. No. 36,098

28 Jan 2000

05/31/2000 BPOWELL 00000015 110600 08976159

01 FC:142 1210.00 CH

02 FC:561 30.00 CH

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending on the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND FEES AND THIS FORM TO: Box Issue Fee, Assistant Commissioner for Patents, Washington D.C. 20231

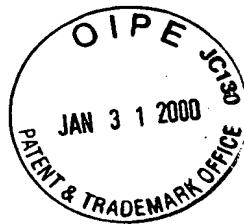
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMIT THIS FORM WITH FEE

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

TRANSMITTAL OF FORMAL DRAWINGS		Docket Number: 2656/2	Batch No. F68
Application Number 08/976,159	Filing Date November 21, 1997	Examiner J. Romain	Art Unit 2765
Title ENHANCED MATCHING APPARATUS AND METHOD FOR POST-TRADE PROCESSING AND SETTLEMENT OF SECURITIES TRANSACTIONS		Inventor(s) BRANDER et al.	

Assistant Commissioner
for Patents
Washington D.C. 20231



RECEIVED
FEB -8 2001
TC 2100 MAILROOM

In response to the Notice of Allowability mailed December 16, 1999, enclosed herewith is a new set of formal drawings (6 sheets) for the above application.

The Commissioner is hereby authorized to charge any required fees to Deposit Account No. 11-0600. A duplicate copy of this sheet is enclosed.

Dated: 28 Jan 2000

By:



Michelle M. Carniaux, Reg. No. 36,098

KENYON & KENYON
One Broadway
New York, N.Y. 10004
(212) 425-7200 (telephone)
(212) 425-5288 (facsimile)